2007/AUG/01/WED 01:35 PM IP LEGAL - CLARIANT

FAX No. 704 331 7707

P. 001/057

- 10533428 - GAU: 4172

RECEIVED **CENTRAL FAX CENTER**

AUG 0 1 2007

Practitioner's Docket No. 2002JP318

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tadashi Suzuki et al.

Application No.: 10/533,428

Group No.: 1762

Filed: April 29, 2005

For: Polysilane-Containing Coating Solution

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

	eposited with the United States Postal Service in ox 1450, Alexandria, VA 22313-1450.	an envelope ado	dressed to the Commissioner for Patents, P.O.
	37 C.F.R. section 1.8(a)		37 C.F.R. section 1.10*
[] w	ith sufficient postage as first class mail.	[]	as "Express Mail Post Office to Address" Mailing Label No (mandatory)
	TRA	NSMISSION	
` ^	ansmitted by facsimile to the Patent and Tradema	Signa	Jackie & Ship
*WARNING	placed thereon prior to mailing. 37 C.F. "Since the filing of correspondence unde is an oversight that can be avoided by th	R. section 1.10(er [section] 1.10 e exercise of re	e number of the "Express Mail" mailing label (b). I without the Express Mail mailing label thereon asonable care, requests for waiver of this Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

2007/AUG/01/WED 01:35 PM IP LEGAI

IP LEGAL - CLARIANT

FAX No. 704 331 7707

P. 002/057

10533428 - GAU: 4172

Applicant Suzuki et al.: Serial No. 10/533,428 Page 2

Dear Sir.

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

, i.		1a.
2.		This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.	\boxtimes	This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.		This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.		This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.		This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

PAGE 2/57 * RCVD AT 8/1/2007 1:10:33 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/19 * DNIS:2738300 * CSID:704 331 7707 * DURATION (mm-ss):21-32

2007/AUG/01/WED 01:36 PM I

IP LEGAL - CLARIANT

FAX No. 704 331 7707

10533428 - GAU: 4172

P. 003/057

Applicant Suzuki et al.: Serial No. 10/533,428

Page 3

7. The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,

Anthony A. Bisulca Registration No. 40,913

CUSTOMER NO. 25,255

Clariant Corporation Industrial Property Department 4000 Monroe Road Charlotte, North Carolina 28205 Telephone: 704/331-7151

Facsimile: 704/331-7707

2007/AUG/01/WED 01:36 PM II

IP LEGAL - CLARIANT

FAX No. 704 331 7707

10533428 - GAU: 4172

P. 004/057

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651 0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respo a collection of information unless it contains a valid OMB control number Complete if Known Substitute for Form 1449/PTO RECEIVED Application Number 10/533,428 CENTRAL Filing Date April 29, 2005 INFORMATION DISCLOSURE First Named Inventor Suzuki et al. STATEMENT BY APPLICANT AUG Art Unit 1 2007 1762 (Use as many sheets as necessary) Examiner Name Sheet 2 Attorney Docket Number 2002JP318

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
		Number - Kind Code 2 (F known)				
	AA	20020034885	3/21/2002	Shindo		
	AB	6329487	12/11/2001	Abel et al.		
	AC	20060246221	11/2/2006	Falk et al.		
	AD	6627559	9/30/2003	Shindo		
	AE	6756469	6/29/2004	Lukacs III		
	AF	6652978	11/25/2003	Lukacs III et al.		
	AG	6534184	3/18/2003	Knasiak et al.		
	AH	4929704	5/29/1990	Schwark		
	AJ	5032649	7/16/1991	Schwark		
	AJ	5344907	10/6/1994	Schwark		
	AK	5464918	11/7/1995	Schwark		
	AL				,	
	AM					
	AN					
	AO		,			
	AP					
	AQ	, .				
· · · · · · · · · · · · · · · · · · ·	AR					

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Translation	T ₇₆	
Initials*	No. 1	Country Code ³ - Number ⁴ - Kind Code ^{8 (I' knows)}	MM-DD-YYYY			'	
	BA	WO 2004/039904	5/13/2004	WIPO	English		
	BB	DE 10320180	6/24/2004	Germany	Machine Assisted English		
	ВС						
	BD						

Examiner	/Xiao Zhao/	Date	05/20/2008
Signature	/Alau Zhau/	Considered	00,20,200

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to piece a check mark here if English language Translation is attached.

The citation of the patent is a required by 27 CER 107 and 108. The information is required by opening a required by the public which is to piction of the public of

This collection of information is required by 37 CFR 1.97 and 1.98. The Information Is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450; Alexandria, VA-22313-1450; DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, MA 22313-1450.

If you need assistance in completing the form, call 1-800-PT0-9199 (1-800-786-9199) and select option 2.

2007/AUG/01/WED 01:36 PM

IP LEGAL - CLARIANT

FAX No. 704 331 7707

28 - GAU: 4172

AUG 0 1 2007

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO				Complete if Known		
Substitute for Form 1445/FTO				Application Number	10/533,428	
INF	SPMATION D	ISCI O	CLIDE	Filing Date	April 29, 2005	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Suzuki	
				Art Unit	1762	
			y)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	2002JP318	

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	СА	U.S. Patent Application Serial No. 11/791,550 by Brand et al., filed 5/23/2007	0
	СВ		
	cc		
	CD		
	CE		
	CF		
	CG		
	СН		
·			
Examiner		/Xiao Zhao/ Date os/an/and	

Examiner Signature	/Xiao Zhao/	Date Considered	05/20/2008
-----------------------	-------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

^{*}Applicant's unique distinct designation number (optional). *Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case.

Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information
Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS
ADDRESS, SEND TO: Commissioner for Patents, P.O. Box, 1450, Alexandria, MA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.